

INTERVISION – TRADE COMPLIANCE & SURVEILLANCE

CONTINUOUS PROFESSIONAL DEVELOPMENT VIA LIVE SESSIONS + CHAT

Members of this service can attend interactive weekly sessions, while having ongoing access to the members-only community (chat room). In both cases your questions are answered and your cases are handled (if desirable, anonymously). In addition, cross-learn from the input by others in the portal. This service supplements or can be used instead of our self-study solutions.

Enhancing performance – *Cross-learn & network*

This service allows you to learn from the responses you get, but also from the answers to questions raised by others. Meet your colleagues on this platform, as well as your peers working for other employers.

Who ?

It concerns sessions, for members-only, open to everyone with a licence. Suitable for commodity & energy traders, risk managers, auditors, data specialists, compliance officers & surveillance experts.

When ?

Weekly, on Monday 11:00-12:00 (CET).

What ?

Consult the Mentor to improve your understanding of work-related aspects, to cope with sudden challenges that you encounter at work, to handle cases arising at work, the interpretation of circumstances and to master practical application; thus, to develop skills.

Where & how ?

Live mentoring, via online portal, held under Chatham House rules, plus app, including members-only chatroom.

Your industry community

Meet your peers and cross-learn. Chat during the week in the group, or consult our Mentor, while information, documentation & other materials are shared.

Learning organisations

Learning is not the goal itself, but the tool to achieve one's targets.

This service supports continuous professional development (CPD). Address the challenges you encounter at work every single week, or even ongoing, bringing you a real-time training-on-the-job. Our expert constantly provides assistance.

ADDED VALUE PRACTICAL APPLICATION

BLEND-LEARNING COST-EFFECTIVE & TIME-EFFICIENT

Self-study requires discipline and self-control. Instead, some professionals rather appreciate guidance, via expert mentoring. Our blended-learning approach combines our (self-study) learning platform (learning at your own pace and in your own time, based on your own preferences) and being able to raise questions and addressing practical cases. Being mentored allows for practical application and optimises competences. After all, at work you face daily practices, with actual processes and activities. Hence, your tasks cannot be performed on the basis of theory.

COMMUNITY MEET YOUR PEERS

The Mentor shares knowledge, in the live sessions & in the chatroom. Exchange thoughts with, and learn from, your peers in the commodity & energy markets.

SELECT YOUR TOPICS THEMES IN SCOPE

The Mentor will address your questions/cases in the following fields (every week you can select whatever you want to discuss/have discussed):

- Inside information: Qualification, publication, corporate actions
- Inside trading: Front running
- Market manipulation: Schemes, interpretation, context
- Price formation: Order book, liquidity, volatility, settlement prices
- Algorithmic trading: Governance, testing, manipulative schemes
- Authorities: Tasks, communication, relationship
- Data reporting: Data quality, formats/templates
- Product specifics: Futures, options & swaps
- Trade surveillance: Systems, calibration, alert management
- Case handling: Strategy, logging
- Compliance: Effectiveness, corporate culture
- Sanctions: Jurisprudence, administrative & criminal sanctions

ENTRIMA FOR PROFESSIONALS

LIVE-TUTORING KNOWLEDGE SHARING (SEASON: 2024)

Each weekly meeting starts with a 15-minute lecture on a dedicated topic. This may be considered stand-alone, or used as kick-start for discussion and Q&A. Otherwise, you raise questions in any other indicated field, across all weeks.

1 Jan:	– (no session)	1 Jul:	Market manipulation
8 Jan:	Publication of inside info	8 Jul:	Layering
15 Jan:	EU regulation – REMIT	15 Jul:	Suspicious activity
22 Jan:	EU regulation – MAR	22 Jul:	Market abuse with options
29 Feb:	Sanctioning	29 Jul:	Spot vs. forward markets
5 Feb:	Spooing	5 Aug:	Inside info – Gas
12 Feb:	Marking	12 Aug:	Withholding capacity
19 Feb:	Data reporting	19 Aug:	Pump & dump
26 Feb:	US regulations – CEA & DFA	26 Aug:	Cross-trades
4 Mar:	Front running	2 Sep:	Disseminate misleading info
11 Mar:	Case handling	9 Sep:	Inside info – Electricity
18 Mar:	Whistleblowing	16 Sep:	Quote stuffing
25 Mar:	Inside Information	23 Sep:	Momentum ignition
1 Apr:	– (no session)	30 Sep:	Wash trades
8 Apr:	Criteria of inside information	7 Oct:	US regulations – EPA & EISA
15 Apr:	Circular trading	14 Oct:	Brokers and abuse
22 Apr:	Algorithmic trading	21 Oct:	System operators and abuse
29 Apr:	Cross-market manipulation	28 Oct:	Financial crime
6 May:	Effective compliance regimes	4 Nov:	Non-genuine orders
13 May:	Market abuse with swaps	11 Nov:	Parking
20 May:	– (no session)	18 Nov:	Ethical blindness & culture
27 May:	Money passes & compensation	25 Nov:	Managing complexity
3 Jun:	Roles of brokers & exchanges	2 Dec:	Fair & orderly trading
10 Jun:	Pre-arranged trading	9 Dec:	Benchmark trading
17 Jun:	Dissemination of false info	16 Dec:	Indicators of manipulation
24 Jun:	Effective trade surveillance	23 Dec:	– (no session)

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